



THE CITY OF SAN DIEGO
City of San Diego – Audit Committee

Candidate for Public Audit Committee Member Appointment

Candidate Summary Application Form

Applicant's Name: Ricardo Valdivia Business Affiliation: _____

PLEASE BRIEFLY PROVIDE THE INFORMATION REQUESTED BELOW:

Educational Background:

B.S. Accounting - Cal State University,
 Hayward

Audit Related Experience:

Ernst & Young - 2 years
 ABMI internal audit senior 3 years
 Over 12 years in Controller/CFO role

Professional Credentials:

(CPA, CIA, or other financial or legal)
 CPA

**Professional Audit or Financial
 Organization Memberships:**

AICPA

**Experience or Special Knowledge
 Pertaining to Audit-Related Matters:**

Over 15 years in public/private companies in
 the Controller/CFO role

**Civic or Community Experience
 (Committees, Boards, Commissions, etc.)**

President HOA Bridgeview Lofts - 2 years
 Barrio Logan Association Boardmember - 6 mos.

Ricardo Valdivia
 Applicant Signature

5/10/13
 Date

PLEASE FEEL FREE TO PROVIDE ADDITIONAL INFORMATION OR LETTERS OF ENDORSEMENT.
 THANK YOU FOR YOUR INTEREST IN SERVING OUR CITY GOVERNMENT.

**To submit your application, please complete and transmit 1) this Summary Application form, 2) the expanded Application form beginning on the next page and
 3) a copy of your resume to:**

**The Office of the Independent Budget Analyst, Attn: Jeff Kawar
 202 C Street, MS-3A San Diego, CA 92101**

If you would like to submit your application via e-mail, please e-mail to sdiba@sandiego.gov

**APPLICATION FORM
PUBLIC AUDIT COMMITTEE MEMBER APPOINTMENT
(San Diego Charter Section 39.1)**

(If you need additional space to answer any of the following questions, you may attach additional pages.)

1. APPLICANT NAME

(Mr./Mrs./Ms.) First Ricardo Middle _____ Last Valdivia

Please list all other names that you have used in the past:

2. CURRENT ADDRESS

Address: [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Street City State Zip

In which Council District do you reside? 8

3. PREVIOUS ADDRESSES

Please list all previous residences during the last 10 years.

Address: [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Street City State Zip
Address: [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Street City State Zip
Address: [REDACTED] [REDACTED] [REDACTED] [REDACTED]
Street City State Zip

4. HOME CONTACT INFORMATION

Home Ph: [REDACTED] Mobile Ph: [REDACTED]

Home E-Mail Address: [REDACTED]

5. WORK CONTACT INFORMATION

Work Ph: [REDACTED] Mobile Ph: [REDACTED]

Work E-Mail Address: [REDACTED]

6. ORGANIZATIONS

Please list all organizations that you have been a member of during the last 10 years that are relevant to this appointment.

AICPA	Sacramento, CA	CPA
Organization	City/State	Type of Work
Organization	City/State	Type of Work
Organization	City/State	Type of Work

7. VOLUNTEER WORK

Please list all volunteer work that you have performed over the last 10 years that is relevant to this appointment.

Bridgeview Lofts HOA - President	San Diego, CA	HOA
Organization	City/State	Type of Work
Barrio Logan Association - Board member	San Diego, CA	Community
Organization	City/State	Type of Work
Organization	City/State	Type of Work

8. LICENSES OR CERTIFICATIONS

Please list all relevant licenses or certifications you hold, their numbers, whether you are in good standing, and whether the license is current.

CPA	55403	Clear/Active	Y
License Type	License Number	Current Standing	Current (Y/N)
License Type	License Number	Current Standing	Current (Y/N)
License Type	License Number	Current Standing	Current (Y/N)

9. EDUCATION

Please list your High School/College/University/Other educational history.

Cal State University, Hayward	B.S.	Accounting
School	Degree	Major
Salesian High School	High School Diploma	
School	Degree	Major
School	Degree	Major

10. EMPLOYMENT HISTORY

Please list your employment history for the past 10 years.

Paragon Services Janitorial		Janitorial Services	
Employer		Type of Business	
CFO			
Title/Position			
Manage all accounting and finance functions for the company.			
Duties			
3935 Harney St., Suite 100		San Diego	CA 92110
Address Street		City	State Zip
858-654-0150			
Phone Number		Website	
9/2009		Current	
Start Date	End Date	Reason for Leaving	

True Religion Brand Jeans		Garment Manufacturing	
Employer		Type of Business	
Controller			
Title/Position			
Manage all accounting and finance functions for the company.			
Duties			
2263 E. Vernon Ave.		Vernon	CA 90058
Address Street		City	State Zip
323-266-3072			
Phone Number		Website	
10/2007		3/2009	
Start Date	End Date	Reason for Leaving	
		Position eliminated	

IMPCO Technologies		Alternative Fuel Systems	
Employer		Type of Business	
Controller			
Title/Position			
Manage all accounting and finance functions for the company.			
Duties			
3030 S. Susan St.		Santa Ana	CA 92704
Address Street		City	State Zip
714-540-0305			
Phone Number		Website	
4/2007		10/2007	
Start Date	End Date	Reason for Leaving	
		Lack of funding/Company relocated	

11. CHARTER REQUIRED QUALIFICATIONS

San Diego Charter Section 39.1 says, "Public members of the Audit Committee shall possess the independence, experience and technical expertise necessary to carry out the duties of the Audit Committee. This expertise includes but is not limited to knowledge of accounting, auditing and financial reporting. The minimum professional standards for public members shall include at least 10 years of experience as a certified public accountant or as a certified internal auditor, or 10 years of other professional financial or legal experience in audit management."

Please explain how you meet the above Charter-required qualifications. Reference any relevant experience or certifications/credentials that would support your candidacy to serve on the Audit Committee. You may alternatively attach a cover letter to respond to this question.

I have a B.S. in Accounting from Cal State University, Hayward, worked for Ernst & Young for 2 years and am an Active licensed CPA. I have 20 years of experience dealing with internal and external (public firm) auditors, including over 12 years of experience as Controller/CFO where I have been the primary point of contact for the auditors. While at Ampco System Parking and True Religion (5 years) I had responsibility for the Sales Audit function. Additionally, I have over 10 years of experience with union and trust fund audits.

12. CURRENT ELECTED OR APPOINTMENT POSITIONS

Do you currently or have you ever served in any elected or appointed office or on any local, state, or federal government board, commission, or committee? If yes, please provide information request below.

No

If your answer is no, please continue to next question.

Entity	Position	From/To
Entity	Position	From/To
Entity	Position	From/To

13. RELATIONSHIPS AND/OR RELATIVES

To the best of your knowledge, do you have a spouse, registered domestic partner, or other relative who is currently an employee or appointee of the City of San Diego? If yes, please provide this person's name and relationship to the City of San Diego.

No

If your answer is no, please continue to next question.

14. AFFILIATIONS

To the best of your knowledge, have you ever been affiliated (as a director, officer, partner, trustee, employee, owner, advisor, or consultant) with any institution, organization, or business entity that might present a potential conflict of interest or the appearance of a conflict of interest with your requested appointment? If yes, please explain.

No

If your answer is no, please continue to next question.

15. FINANCIAL HOLDINGS/PROPERTY

To the best of your knowledge, do you have financial holdings in or receive income from any source or own real property or personal property that might present a potential conflict of interest or the appearance of a conflict of interest with your requested appointment? If yes, please explain.

No

If your answer is no, please continue to next question.

16. DISCIPLINARY ACTIONS

Have you ever been disciplined or cited for a breach of ethics or unprofessional conduct or been the subject of a complaint to any court, administrative agency, professional association, disciplinary committee, or other professional group? If yes, please explain.

No

If your answer is no, please continue to next question.

17. CIVIL LITIGATION OR ADMINISTRATIVE PROCEEDING

In the last 10 years, have you been a party to any civil litigation or administrative proceeding as a plaintiff, defendant, petitioner, or respondent that is relevant to this appointment? If yes, please explain.



No

If your answer is no, please continue to next question.

18. VIOLATION OF A CRIMINAL LAW OR ORDINANCE

To the best of your knowledge, are you currently under federal, state, or local investigation for possible violation of a criminal law or ordinance? If yes, please explain.



No

If your answer is no, please continue to next question.

19. TAX LIEN/COLLECTION PROCEEDINGS

Has a tax lien or other collection proceeding ever been instituted against you by federal, state, or local authorities? If yes please provide the date, a brief description of the events leading to the proceedings, and whether the matter has been resolved.



No

If your answer is no, please continue to next question.

20. RESUME/REFERENCES

Please attach your resume, provide the names and telephone numbers of 3 references who are familiar with your qualifications as they relate to this appointment (business and/or personal associates), and any additional information that you believe relevant to the position for which you are applying.

**Ricardo
Valdivia
CPA**

Summary

Strategic and operations-oriented finance executive with 12 years management experience at the Division Controller level and above. Diverse experience in small, medium-sized and billion-dollar, public and private companies. Milestones include:

- Re-engineering operations and supply chain processes to reduce costs, and increase efficiencies in multiple companies and industries.
- Improving internal controls, accounting policies and procedures to achieve GAAP and SOX compliance (initial implementation) and the timely delivery of financial and operational reporting.
- Successfully implementing ERP systems and upgrades.

Areas of Focus

FP&A, Strategic Planning, International Operations, Budgeting, Forecasting, Acquisitions & Business Integration, Cost Control, Operations, Plant Closures, System Implementations, Pricing, Cash Management, Treasury, Project Management, Supply Chain, Internal Controls, SOX implementation, Process Re-engineering, Loss Prevention

Employment History

Paragon Services (San Diego, CA)

CFO

August 2009 – Present

- Responsible for all accounting and finance functions for a privately held facility services company.
- Reduced month-end close cycle from 3 weeks to 6 days.
- Established relationship with Union Bank, effectively increasing line of credit by 300%.
- Converted Payroll system and implemented IVR system to improve the payroll process, reduce payroll cycle and improve labor tracking, while reducing costs.
- Implemented P-cards for 400 employees to improve efficiencies and provide additional benefits to hourly employees at no cost to the company.
- Trained, mentored and empowered staff. Revised internal controls and procedures to meet deadlines and accomplish goals and objectives in a timely manner without additional staff.
- Performed due diligence on recent acquisition and coordinated operations during the transition of ownership. Oversaw divestiture of 2 business units.

True Religion Brand Jeans (Los Angeles, CA)

Controller

October 2007 to March 2009

- Responsible for accounting, FP&A and financial reporting of a publicly traded apparel company with annual revenue of \$270M from wholesale operations, e-commerce and 42 retail stores.
- Coordinated efforts with three audit firms (Deloitte & Touche, MarcumStonefield, and Protiviti) to complete the restatement of prior periods, transition to new external audit firm and outsourcing of the internal audit function.
- Established procedures, designed and implemented internal control structure to rectify material weaknesses and achieve compliance with GAAP and SOX.
- Initiated projects with IT and Operations Departments to align business objectives with corporate goals and requirements while improving overall efficiencies.
- Reduced month-end close cycle from 20 days to 8.
- Coordinated efforts with IT and consultants to implement Oracle ERP solution.
- Partnered with COO to streamline the procurement process, improve profit margins, and timeliness of receipts from vendors as well as shipments to customers.
- Coordinated e-commerce efforts with outsource partner to streamline accounting for online sales.
- Calculated monthly sales commissions for domestic and international sales.
- Calculated inventory valuation, including WIP and Obsolescence Reserve quarterly.
- Performed quarterly revenue recognition testing based on FOB terms.
- Calculated correct accounting for escalating rent based on lease agreements for retail locations.

IMPCO Technologies (Santa Ana, CA)

Controller

April 2007 to October 2007

- Responsible for accounting, FP&A and financial reporting of IMPCO Technologies (US headquarters with 3 foreign subsidiaries, a Division of Fuel Systems Solutions, a publicly traded company with FY 2007 revenue of \$265M).
- Implemented policies and procedures to overcome significant deficiencies from prior audits and 9 year restatement to ensure compliance with GAAP and SOX.



- Partnered with COO to align Divisional business objectives with corporate goals and requirements while improving overall efficiencies.
- Prepared and reviewed the Company's financial reporting package on a monthly basis, including GAAP financial statements and supporting schedules.
- Prepared, maintained, and monitored complete operating budget and cash forecasts.
- Reduced month-end close cycle from 20 days to 7 days.
- Calculated Inventory valuation, including WIP, Labor, Operating Cost, Burden Analysis and Obsolescence Reserve.
- Calculated quarterly Warranty Reserve by product line.
- Reviewed consolidation of 3 subsidiaries including validation of eliminating entries, minority interest and foreign exchange difference calculations.
- Reviewed capital leases and asset impairment analysis related to PP&E.

AMPCO System Parking (Los Angeles, CA)

Division Controller

August 2003 to July 2006

- Responsible for accounting, FP&A and financial reporting of AMPCO System Parking (Parking Division of ABMI, a publicly traded company), with 8,000 employees and 1,800 locations.
- Managed 4 regional offices with 85 accounting employees, 5 audit personnel and processing centers in Houston and India.
- Oversaw collection, reconciliation and reporting of \$1.25B in annual revenues.
- Established controls to safeguard company assets, prepared SEC forms and schedules on a quarterly basis and ensured policies and procedures were in compliance with GAAP and SOX.
- Directed planning, forecasting and budgeting for the Division as well as system implementations.
- Centralized the cash application process, outsourced related functions overseas and documented Internal Controls for Section 404 certification in the initial implementation of SOX.
- Implemented review of low margin and losing operations for margin improvements or termination.
- Reviewed quarterly revenue recognition analysis for 1,800 locations based on lease terms.
- Completed quarterly escalating rent calculations and asset impairment analysis for leases.

ABM (San Francisco, CA)

Controller

May 1999 to July 2003

- Responsible for accounting, FP&A and financial reporting of ABM Northern California (Division of ABMI, publicly traded provider of facility services), with 2,000 employees and revenues of \$150M.
- Supervised accounting staff of 10 and oversaw support personnel in 15 Branch offices.
- Assessed margins and reduced labor costs by almost 2% while leading the process of evaluating business performance, identifying business opportunities and reporting financial results.
- Established policies to safeguard company assets, prepared SEC schedules on a quarterly basis and ensured policies and procedures were in compliance with GAAP.
- Implemented ERP conversion to JD Edwards and directed regional budgeting and forecasting.

Levi Strauss & Co. (San Francisco, CA)

Manager, Planning and Performance

May 1998 to May 1999

Domestic / Offshore Reporting Manager

January 1993 to February 1999

- Completed the 5 Year Strategic Plan for the Levi's Brand.
- Responsible for cost effectiveness and strategic direction for Domestic and Offshore Operations sourcing garments for the North American market.
- Provided guidance to implement strategic plans and to exceed cost and performance targets.
- Supervised disposition of approximately \$950M in closure reserves.
- Partnered with Manufacturing Directors to monitor the manufacturing process, ensuring product delivered to distribution centers met volume requirements, quality standards, cost parameters, and on-time delivery targets.
- Assessed capabilities of existing manufacturing base, identified potential new sources and increased capacity to meet production requirements.
- Partnered with Offshore Controllers to develop consistent financial and operational reporting.
- Developed software application in MS Access that resulted in 20% percent quality improvement.
- Analyzed budget for branch offices in Latin America (8 countries, 120M units, \$17M).
- Reviewed and analyzed \$650M annual budgets for 31 owned and operated plants in ten states.
- Developed a scorecard to measure key plant financial and performance measures.
- Consolidated and analyzed \$110M budget for 90 cost centers in three organizations which were allocated to products sourced from thirty countries for 3 brands.





American Building Maintenance Industries, Inc. (San Francisco, CA)
Senior Internal Auditor *October 1989 to December 1992*



Ernst & Young (San Francisco, CA)
Senior Auditor *September 1987 to October 1989*

Education, Certifications and Other Skills

- B.S. Business Administration - Concentration in Accounting and Economics
California State University, Hayward.
- JD Edwards (World and OneWorld), Oracle, Solomon, COGNOS, Ultipro, ADP, MS Office and Quickbooks
- Fluent in Spanish